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**DECLARATION - U.S.A Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an original, first and joint inventor for which a patent is sought on the invention entitled KINASE INHIBITORS FOR THE TREATMENT OF DISEASE

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.  
10/306,975

Filing Date  
November 27, 2002

I hereby appoint Robert Baran, Registration No. 25,806, Martin A. Voet, Registration No. 25,208; Carlos A. Fisher; Registration No. 36,510; Stephen Donovan, Registration No. 33,433 and Brent A. Johnson, Registration No. 51,851 as attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO AND DIRECT TELEPHONE CALLS TO:

Robert J. Baran (T2-7H)

ALLERGAN, INC.

Legal Department

2525 Dupont Drive

Irvine, CA 92612



Telephone: (714) 246-4669/ Facsimile: (714) 246-4249

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<b>FULL NAME OF INVENTOR:</b>			
First Name: Steven	Initial W.	Last Name Andrews	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Longmont	State or Foreign Country CO	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 2020 Kentmere Drive	City Longmont	State or Country CO	Zip Code 80501
SIGNATURE OF INVENTOR		DATE:	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Michael	Initial E.	Last Name Garst	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Newport Beach	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 2627 Raqueta	City Newport Beach	State or Country CA	Zip Code 92660
SIGNATURE OF INVENTOR		DATE:	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Xialing	Initial	Last Name Guo	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Irvine	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 19291 Sierra Cadiz Rd.	City Irvine	State or Country CA	Zip Code 92612
SIGNATURE OF INVENTOR <i>[Signature]</i>		DATE: 03/13/03	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Jonathan	Initial X. J.	Last Name Hebert	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Pleasant Hill	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 188 Lucinda Lane 1045 Lands End	City CARLSBAD Pleasant Hill	State or Country CA	Zip Code 94523 92009
First Name: Thomas	Initial	Last Name Malone	
<b>RESIDENCE &amp; CITIZENSHIP</b>			

\*

\* SEE NEXT PAGE

<b>FULL NAME OF INVENTOR:</b>			
First Name: Steven	Initial W.	Last Name Andrews	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Longmont	State or Foreign Country CO	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 2020 Kentmere Drive	City Longmont	State or Country CO	Zip Code 80501
SIGNATURE OF INVENTOR 		DATE: 12/17/02	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Michael	Initial E.	Last Name Garst	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Newport Beach	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 2627 Raqueta	City Newport Beach	State or Country CA	Zip Code 92660
SIGNATURE OF INVENTOR 		DATE: 4 Mar 03	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Xialing	Initial	Last Name Guo	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Irvine	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 19291 Sierra Cadiā Rd.	City Irvine	State or Country CA	Zip Code 92612
SIGNATURE OF INVENTOR		DATE:	
<b>FULL NAME OF INVENTOR:</b>			
First Name: Jonathan	Initial J. J.	Last Name Hebert	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Pleasant Hill CARLSBAD	State or Foreign Country CA	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 188 Lucinda Lane 1045 Lands END	City CARLSBAD Pleasant Hill	State or Country CA	Zip Code 94523 92009
First Name: Thomas		Initial	Last Name Malone
<b>RESIDENCE &amp; CITIZENSHIP</b>			

City Irvine	State or Foreign Country CA	Country of Citizenship USA	
POST OFFICE ADDRESS		SIGNATURE OF INVENTOR: <i>Thomas Wurster</i> 3-4-2003	
Post Office Address 49 Trailwood	City Irvine	State or Country CA	Zip Code 92620
FULL NAME OF INVENTOR:			
First Name: Julie	Initial A.	Last Name Wurster	
RESIDENCE & CITIZENSHIP			
City Irvine	State or Foreign Country CA	Country of Citizenship USA	
POST OFFICE ADDRESS			
Post Office Address 13771 Typee Way	City Irvine	State or Country CA	Zip Code 92620
SIGNATURE OF INVENTOR <i>Julie A. Wurster</i>		DATE: 3-4-03	
FULL NAME OF INVENTOR:			
First Name: Clarence	Initial Eugene	Last Name <del>Hall, III</del> <i>CEH 3-4-03</i>	
RESIDENCE & CITIZENSHIP <i>HULL, III</i>			
City Trabuco Canyon	State or Foreign Country CA	Country of Citizenship USA	
POST OFFICE ADDRESS			
Post Office Address 28622 Camelback Road	City Trabuco Canyon	State or Country CA	Zip Code 92679
SIGNATURE OF INVENTOR <i>Clarence Eugene Hall III</i>		DATE: 3-4-03	



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

1754  
RECEIVED

AUG 26 2003

LEGAL/PATENTS

AUGUST 21, 2003

PTAS

Deputy Under Secretary of Commerce For Intellectual Property and  
Deputy Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

ALLERGAN, INC.  
ROBERT J. BARAN  
2525 DUPONT DR.  
IRVINE, CA 92612



\*102404238A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/13/2003

REEL/FRAME: 013890/0289  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ANDREWS, STEVEN W.

DOC DATE: 12/17/2002

ASSIGNOR:  
GARST, MICHAEL E.

DOC DATE: 03/04/2003

ASSIGNOR:  
GUO, XIALING

DOC DATE: 03/13/2003

ASSIGNOR:  
HEBERT, JONATHAN J.

DOC DATE: 01/23/2003

ASSIGNOR:  
MALONE, THOMAS

DOC DATE: 03/04/2003

ASSIGNOR:  
WURSTER, JULIE A.

DOC DATE: 03/04/2003

ASSIGNOR:  
HULL, CLARENCE EUGENE III

DOC DATE: 03/04/2003

CPT D/B

013890/0289 PAGE 2

ASSIGNEE:

ALLERGAN, INC.  
2525 DUPONT DRIVE  
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10389416  
PATENT NUMBER:

FILING DATE: 03/13/2003  
ISSUE DATE:

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

# RECORDATION FORM COVER SHEET PATENTS ONLY

To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

03-31-2003



102404238

JC685 U.S. PTO  
 10/389416  
 03/13/03

## 1. Submission Type:

☒ new

3-13-03

☐ Correction of PTO error (Reel /frame )☐ Corrective Document (Reel /frame )

## 2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

## 3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Steven W. Andrews	December 17, 2002
2. Michael E. Garst	March 4, 2003
3. Xialing Guo	March 13, 2003
4. Jonathan J. Hebert	January 23, 2003
5. Thomas Malone	March 4, 2003
6. Julie A. Wurster	March 4, 2003
7. Clarence Eugene Hull, III	March 4, 2003

☐ Additional Conveying Parties Attached

## 4.

03/28/2003 TDI/AZ1 00000041 010885 10389416  
 01 FC:0021 40.00 CH

10389416

RECEIVING PARTIES	
Names of Receiving Parties	
Name Allergan, Inc.	
Address 1 2525 Dupont Drive	
Address 2 Irvine, CA 92612	

☐ Additional Receiving Parties Attached



- ☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS
Name
Address 1
Address 2

6.

CORRESPONDENCE NAME AND ADDRESS
Name Robert J. Baran (T2-7H)
Address 1 Allergan, Inc.
Address 2 2525 Dupont Drive, Irvine, CA 92612
Telephone 714-246-4669 and Fax 714-246-4249

7. Total Number of pages of the conveying document, including attachments: 7 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number	Patent Number
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: KINASE INHIBITORS FOR THE TREATMENT OF DISEASE  
Docket No.: 17543CON(BAR)  
Date of Execution by First Inventor: 12/17/2002

10. Total Number of Properties Involved: 1
11. The fee amount (37 CFR §3.41) of \$ 40.00

X may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_.

12. X The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE

*RJ Baran*Date: 3/13/03

TYPED or PRINTED NAME: Robert J. Baran.

REGISTRATION NO. 25,806

CERTIFICATE OF MAILING	
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS EXPRESS MAIL (LABEL NO. EV193718457US) IN AN ENVELOPE ADDRESSED TO: BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON <u>3/13/2003</u> (Date)	
Name of person making deposit: <u>Bernie Ferguson</u>	
Signature: <i>Bernie Ferguson</i>	Date <u>3/13/2003</u>

## ASSIGNMENT

AUG 26 2003

WHEREAS we, Steven W. Andrews of Boulder County, Colorado; Michael E. Garst of Orange County, California; Xialing Guo of Orange County, California; Jonathan J. Hebert of San Diego County, California; Thomas Malone of Orange County, California; Julie A. Wurster of Orange County, California and Clarence Eugene Hull, III of Orange County, California (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: KINASE INHIBITORS FOR THE TREATMENT OF DISEASE for which an application for Letters Patent of the United States has been executed on even date herewith.

WHEREAS: Allergan, Inc., having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and any corresponding foreign application filed or to be filed in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said applications or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said applications, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

December 17th, 2002.

Steven W. Andrews  
Steven W. Andrews

State of Colorado )  
County of Boulder ) ss:

On December 17th before me, Steven W. Andrews  
personally appeared with Drivers License  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and  
that by his/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
Notary Public



IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

My Commission Expires 8/16/04

4 Mar, 2003  
2002.

[Signature]  
Michael E. Garst

State of CALIFORNIA )  
County of ORANGE ) ss:

On MARCH 4 2003 before me, MARY LOU MC NOWN  
personally appeared MICHAEL E. GARST  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and  
that by his/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



[Signature]  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

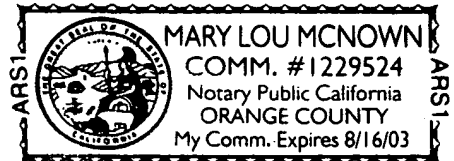
03 / 13, 2003.

Xialing Guo  
Xialing Guo

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On MARCH 13, 2003 before me, MARY LOU MCNOWN  
personally appeared XIALING GUO  
~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the  
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged  
to me that ~~he/she/they~~ executed the same in his/~~her/~~their authorized capacity(ies), and  
that by his/~~her/~~their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNown

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

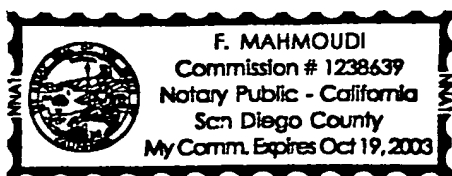
1 - 23, 2003.

Jonathan J. Hebert  
Jonathan J. Hebert

State of Calif. )  
 ) ss:  
County of San Diego )

On 1-23-2003 before me, F. MAHMOUDI  
personally appeared Jonathan J. Hebert  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and  
that by his/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



F. Mahmoudi  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

4, March 2003<sup>ten</sup>, 2003.

Thomas Malone

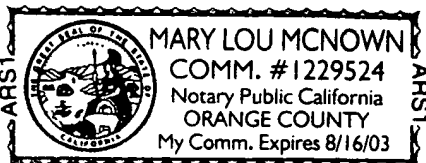
Thomas Malone

State of CALIFORNIA ) ss:

County of ORANGE )

On MARCH 4 2003 before me, MARY LOU MC NOWN  
personally appeared THOMAS MALONE  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and  
that by his/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNown  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

March 4, 2003.

Julie A. Wurster  
Julie A. Wurster

State of CALIFORNIA ) ss:

County of ORANGE )

On MARCH 4, 2003 before me, MARY LOU MC NOWN  
personally appeared JULIE A. WURSTER  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) is/are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in his/her/their authorized capacity(ies), and  
that by his/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNown  
Notary Public

4, March, 2003.

Clarence Eugene Hull III  
Clarence Eugene Hull, III

State of CALIFORNIA )  
 ) ss:

County of ORANGE )

On MARCH 4, 2003 before me, MARY LOU MCNOWN  
personally appeared CLARENCE EUGENE HULL, III  
personally known to me (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged  
to me that he/she/they executed the same in ~~his~~/her/their authorized capacity(ies), and  
that by ~~his~~/her/their signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Mary Lou McNowan  
Notary Public

